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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 9022-21 DATE: December 7, 2000



## UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

Commissioner for Patents Washington, DC 20231 Sir: Transmitted herewith for filing under 37 CFR 1.53(b) is: a patent application a Continuation | a Divisional | a Continuation-in-Part (CIP) of prior application no.: 60/169,545; filed December 7, 1999. A Small Entity Statement(s) was filed in the prior application; Status still proper and desired. Inventor(s) or Application Identifier: David Warburton, La Canada, California; Barbara Driscoll, Los Angeles, California; Susan A. Buckley, Pasadena, California; Margaret A. Schwarz, La Canada, California Entitled: LUNG STEM CELLS AND LUNG REGENERATION Enclosed are: Application Transmittal Letter and Fee Transmittal Form (A duplicate is enclosed for fee processing) 1. 2. 25 pages of Specification (including 12 claims) 11 sheets of Formal Drawings (35 USC 113) 3. Oath or Declaration newly executed (original or copy) copy from prior application (37 CFR 1.63(d) (for continuation/divisional) [Note Box 5 Below] DELETION OF INVENTOR(S) (Signed statement deleting inventor(s) named in the prior application) Incorporation By Reference (useable if box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is

8. Small Entity Statement(s) 9. Information Disclosure Statement, PTO-1449, and references cited Preliminary Amendment (Please enter all claim amendments prior to calculating the filing fee.) 10. **English Translation Document** 11.

Assignment papers (cover sheet(s) and document(s) Filed in parent application

considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Microfiche Computer Program (Appendix)

BOX PATENT APPLICATION

13.	$\boxtimes$	Sequence Listing/ Sequence Listing Diskette
	a.	computer readable copy
	b.	paper copy
	c.	statement in support
14.		An Associate Power of Attorney
15.	$\boxtimes$	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
16.		Other:

The fee has been calculated as shown below:

	Column 1	Column 1 Column 2 Small Entity		Large Entity		
	No. Filed	No. Extra	Rate	Fee	Rate	Fee
BASIC FEE	\$355.00		\$710.00			
TOTAL CLAIMS	12 - 20 =	0	x 09 = \$		x 18 =	\$
INDEP CLAIMS	1 - 3 =	0	x 40 = \$		x 80 =	\$0
MULTIPLE Depe	+ 135 = \$		+ 270 = \$			
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2				355.00	Total	\$

$\boxtimes$	A	check	in the	amount	of \$3:	55.00	to cover	the	filing	fee	is encl	osed.
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A check in the amount of \$	is enclosed to cover the filing fee,	PLUS the Assignment Recordation
fee (\$40.00).		·

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0220.

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted

Kenneth D. Sibley Registration No. 31,66

Correspondence Address:
USPTO Customer Number: 20792
Myers Bigel Sibley & Sajovec
Post Office Box 37428
Raleigh, North Carolina 27627
Telephone (919) 854-1400
Facsimile (919) 854-1401

#### CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL682675025US Date of Deposit: December 7, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner For Patents, Washington, DC 20231.

Vickie Diane Prior

Date of Signature: December 7, 2000



## Attorney Docket No. 9022-21

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Warburton, et al.

Serial No.:

To be Assigned

Filed:

Concurrently Herewith

For:

LUNG STEM CELLS AND LUNG REGENERATION

December 7, 2000

# STATEMENT IN SUPPORT OF FILING A SEQUENCE LISTING UNDER 37 CFR § 1.821(f)

BOX PATENT APPLICATION Commissioner for Patents Washington, DC 20231

Sir:

I hereby state that the content of the paper and computer readable copies of the Sequence listing, submitted concurrently herewith in accordance with 37 CFR§ 1.821(c) and (e), are the same.

Respectfully submitted,

Kenneth D. Sibley

Attorney for Applicant Registration No. 31,665

USPTO Customer No. 20792 Myers Bigel Sibley & Sajovec Post Office Box 37428 Raleigh, North Carolina 27627 Telephone (919) 854-1400 Facsimile (919) 854-1401

#### **CERTIFICATE OF MAILING**

"Express Mail" mailing label number EL682675025US

Date of Deposit: December 7, 2000

1 hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner of Patents, Washington, DC 20231.

Vickie Diane Prior

Date of Signature: December 7, 2000